

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> <b>(Under 37 CFR 1.97(b) or 1.97(c))</b>			Docket No. <b>713-18-CON2</b>	
In Re Application Of: <b>Randall Williams Nish et al.</b>				
Serial No. <b>10/616,152</b>	Filing Date <b>07/09/2003</b>	Examiner	Group Art Unit <b>3641</b>	
Title: <b>RISER BUOYANCY SYSTEM</b>				
Address to: <b>Commissioner for Patents</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b>				
<b>37 CFR 1.97(b)</b>				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
<b>37 CFR 1.97(c)</b>				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
<b>OR</b>				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
713-18-CON2

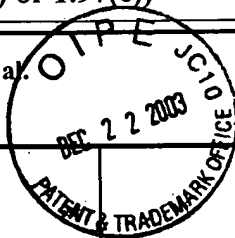
In Re Application: **Randall Williams Nish et al.**

Serial No.  
10/616,152

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Examiner

Group Art Unit  
3641



**RISER BUOYANCY SYSTEM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **11-1159** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **December 17, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signature of Person Mailing Correspondence

**Carrie Anne Cheung**

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

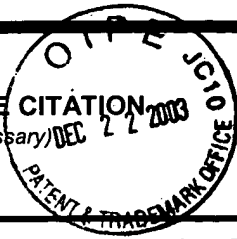
\_\_\_\_\_  
Signature

Dated: **December 17, 2003**

**Howard J. Klein**  
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**2 Park Plaza, Suite 510**  
**Irvine, California 92614**  
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CC:

# **INFORMATION DISCLOSURE CITATION** (Use several sheets if necessary)



ATTY DOCKET NO.  
713-18-CON2

SERIAL NO.  
10/616,152

Randall Williams Nish et al

FILING  
07/09/2003

GROUP  
3641

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,858,401	1975-01-07	Watkins			
	3,957,112	1976-05-18	Knibbe et al.			
	4,057,450	1977-11-08	Lee			
	4,102,142	1978-07-25	Lee			
	4,176,984	1979-12-04	Taft et al.			
	4,646,840	1987-03-03	Bartholomew et al.			
	402,321	1987-10-27	Horton			
	4,821,804	1989-04-18	Pierce			
	5,706,897	1998-01-13	Horton			
	5,758,992	1998-06-02	Davies et al.			
	5,873,416	1999-02-23	Horton			

## **FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 02/04855	2002-01-17	WO			✓	

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

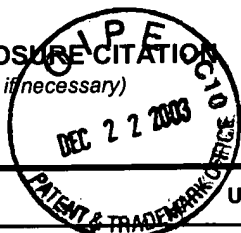
		HUDSON ENGR. CORP., "BUOYANCY CAN DETAILS DWG" Drawings 1, 2 and 3 February 9, 1996, Houston, TX, USA

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>713-18-CON2</b>	SERIAL NO. <b>10/616,152</b>
	Randall Williams Nish et al	
	FILING <b>07/09/2003</b>	GROUP <b>3641</b>



**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,881,815	1999-03-16	Horton			
	6,004,074	1999-12-21	Shanks, II			
	6,092,483	2000-07-25	Allen et al.			
	6,155,748	2000-12-05	Allen et al.			
	6,161,620	2000-12-19	Cox et al.			
	6,347,912	2002-02-19	Thomas			
	6,367,846	2002-04-09	Aaron, III			
	6,406,223	2002-06-18	Thomas			
	6,435,775	2002-08-20	Nish et al.			
	6,439,810	2002-08-27	Nish et al.			
	6,488,447	2002-12-03	Nish et al.			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


EXAMINER	DATE CONSIDERED
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